

Driving Innovation in Crisis Management for European Resilience

# D42.21 - Specification documentation and deployment of the prototype and final integration platform

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# List of Acronyms

Abbreviation / acronym	Description
ANSSI	Agence Nationale de la Sécurité des Systèmes d'Information (France)
САР	Common Alerting Protocol
СЕК	Content Encryption Key
CIS	Common Information Space
СОР	Common Operational Picture
СМ	Crisis Management
CSA	Conseil Supérieur de l'Audiovisuel (France)
CSS	Common Shared Services
DE	Distribution Element (EDXL standard)
DM	Disaster management
ERCC	Emergency Response Coordination Centre
ECML	European Crisis Management Laboratory
EDXL	Emergency Data Exchange Language
ESB	Enterprise Service Bus
FIPS	Federal Information Processing Standard
FTP	File Transfer Protocol
GIS	Geographic Information System
HSM	Hardware Security Module
КЕК	Key Encryption Key
KML	Keyhole Markup Language
JSON	JavaScript Object Notation
NIST	National Institute of Standards and Technology (United States of America)
P2P	Peer To Peer
OGC	Open Geospatial Consortium
RDF	Resource Description Framework
REST	Representational State Transfer
RGS	Référentiel général de sécurité (ANSSI, France)
SAML	Security Assertion Markup Language
SOA	Service Oriented Architecture
SOAP	Simple Object Access Protocol



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SON	Self Organized Network	
SOS	System of Systems	
SE2	Subproject Experiment 2	
SP	Subproject (main subdivision of DRIVER)	
TRL	Technology Readiness Level	
WFS	Web Feature Service	
WMS	Web Map Service	
WP	Work Package	
XACML	eXtensible Access Control Markup Language	
XML	eXtensible Markup Language	



# **Executive Summary**

DRIVER's Work Package 42 Architecture for Strengthened Responses aims at designing the software architecture that will enable the testing of the SP4 experiments which will assemble a selection of Crisis Management (CM) tools provided by WP43 Situation Assessment Tools, WP44 Tasking and Resource Management Tools and WP45 Secured Interoperability Tools.

This architecture has to meet the following requirements:

- To enable the connectivity of existing operational tools (legacy systems, SP4 operational tools)
- To be compatible with the SP2 test-bed environment (e.g.: simulators, evaluation modules)
- To enable the management of a secured heterogeneous community
- To enable the development of a service based technical system of systems
- To prepare the secured cloud deployment experiment

The purpose of this deliverable is to provide an integration platform for the SP4 technical tools. The architecture of this Integration platform will be based on the Service Oriented Architecture (SOA) paradigm. It will make extensive use of existing state of the art standards and platforms and will choose components coming from the open source community (e.g: IP, SOA, ESB, Web-services, SOAP, J2E, XML, KML, RDF ...). It will provide basic common services and will be designed in coherence with the SP2 test-bed and related to the interoperability Standards task of WP45, Task 45.1, and with the aim of providing valuable input to *WP46, Integration & Transverse experiment*.

A Common Information Space (CIS) will be used to enable the secured information exchange between the participating applications. It is based on the basis that in a SOA context, every application can offer data, information provider, and/or receive data, information consumer, and is agnostic concerning the other partners in the Information space.

Within the CIS, the architecture defines the CIS Adaptor as the connector used by the tools to get access to the shared information space. Each tool will implement this adaptor according to the defined common architecture so that interoperability is guaranteed.

The CIS communication between the different tools will be done by an implementation of the CIS distributor. There are several options to implement it, like Common Shared Services, Peer To Peer or Enterprise Service Bus. Also there are other supporting tools that could be used to enhance the functionality of the CIS like Cyris or Ingest.

Further details of the architecture will be provided in coming deliverables and they will include information about:

- Further details in the scope of the Specification documentation and deployment of the prototype and final integration platform
- Interfaces specifications and integration guidelines
- Documentation and deployment of prototype and final secured system access control
- Secured cloud deployment and documentation



This document is the first version of Specification documentation and deployment of the prototype and final integration platform. There will be a second iteration of this document that will provide further details and more deep understanding for the final integration platform.



# 1 Introduction

# 1.1 Introduction and Purpose

SP4 aims at strengthening the response effort in European Union by filling the main improvement needs of the responders such as: interoperability, information sharing, situation assessment, early warning, resource management, tasking, capacity building, and interaction with citizens. It addresses all bodies of the responder community (i.e.: fire-brigade, public health, police, civil security, and etc.), all phases (preparedness & response) and all levels (from local to European).

The purpose of *WP42 Architecture for Strengthened Responses* is to provide a suitable architecture for the experiments that will be carried out in SP4 and SP6.

On the one hand, a portfolio of tools was prepared in SP4 covering tools from *WP43 Situation Assessment Tools, WP44 Tasking and Resource Management Tools* and *WP45 Secured Interoperability Tools.* The tools were evaluated and assessed and furthermore they were classified in accordance with the main features they had.

On the other hand, six experiments are being defined within the scope of SP4, and each of them will focus on a specific topic and will use a subset of the tools of the portfolio.

The architecture's main aim is to enable the exchange of current information between the involved tools. It has to be flexible enough to be used in all the experiments, enabling services enough to fulfil their needs, so that involved tools may have a suitable framework with the required interoperability for experiments to be performed properly.

# 1.2 Scope

The scope of WP42 is the description of the technical architecture to be used in the experiments covering the:

- Definition of the architectural technical guidelines
- Specification documentation and deployment of the prototype and final integration platform
- Interfaces specifications and integration guidelines
- Documentation and deployment of prototype and final secured system access control
- Secured cloud deployment (prototype & final) and documentation

This deliverable describes the component, tools and services that WP43, WP44 and WP45 will use for the development of the experiments together with the integration platform for the integration of SP4 technical tools. This architecture will be based on the Service Oriented Architecture (SOA) paradigm. It will make extensive use of existing state of the art standards and platforms and will choose components coming from the open source community (e.g: IP, SOA, ESB, Web-services, SOAP, J2E, XML, KML, RDF...). It will provide basic common services and will be designed in coherence with the SP2 test-bed and related to the interoperability Standards task of WP45.

This document is the first component document, and following documents will provide detailed information about the components part of the integration platform.



# 1.3 Document Structure

Besides this introductory chapter, the deliverable contains the following sections:

Chapter 2, introduces available tools and technologies to be used within the integration platform and the experiments from both the ICT and the CM perspectives.

Chapter 3, describes the integration platform where all the tools will be used to perform the following experiments. It includes the architecture, the components and the functionality they provide.

Chapter 4, Overview of experiments: it provides a description of the experiments, with focus on integration platform and components.

Chapter 5, provides a conclusion of this document and way ahead.



# 2 SOTA of standards and technologies

## 2.1 Introduction

When defining the Integration Platform for the SP4 technical tools and more specifically, when defining the architecture of this Integration Platform, it is important to establish a good basis in which the fundamentals of the design will be built. In this case, the first issue that needs to be addressed is the presentation of the available standards and technologies that can be used to build this Integration Platform. This is performed through an initial overview of the available technologies and standards in the scope of Service architectures and Crisis Management so we are able to establish a start point for defining the used standards and technologies.

The aim of the Integration Platform is to use the Service Oriented Architecture (SOA) paradigm. It will make extensive use of existing state of the art standards and platforms and will choose components coming from the open source community (e.g: IP, SOA, ESB, Web-services, SOAP, J2E, XML, KML, RDF...). It will provide basic common services such as mail, routing, stack management.

# 2.2 Information and Communications Technologies

## 2.2.1 Service Oriented Architecture (SOA)

Service Oriented Architecture (SOA) is a paradigm for organizing and utilizing distributed capabilities that may be under the control of different ownership domains. In general, entities (people and organizations) create capabilities to solve or support a solution for the problems they face in the course of their business. It is natural to think of one person's needs being met by capabilities offered by someone else; or, in the world of distributed computing, one computer agent's requirements being met by a computer agent belonging to a different owner. There is not necessarily a one-to-one correlation between needs and capabilities; the granularity of needs and capabilities vary from fundamental to complex, and any given need may require the combining of numerous capabilities while any single capability may address more than one need.

Service Oriented Architecture is the natural evolution of distributed computing based on requestreply structure for synchronous and asynchronous services. The individual function elements are modularized and presented as services for consumer applications. The key point is that these services are loosely coupled and the service interface is independent of the implementation of the service. SOA services have self-describing interfaces in platform-independent XML documents. Web Services Description Language (WSDL) is the standard used to describe the services.

When using SOA, there are some key concepts that need to be explained in advance. WSDL, UDDI, and SOAP are the fundamental pieces of the SOA infrastructure. WSDL is used to describe the service; Universal Description, Discovery, and Integration or UDDI, to register and look up the services; and Simple Object Access Protocol or SOAP, as a transport layer to send messages between service consumer and service provider. While SOAP is the default mechanism for Web services, alternative technologies accomplish other types of bindings for a service. A consumer can search for



a service in the UDDI registry, get the WSDL for the service that has the description, and invoke the service using SOAP.

### 2.2.2 Enterprise Service Bus (ESB)

An ESB is the mechanism by which messages are transported between a client and a service. ESB refers to a software architecture style that provides an abstraction layer on top of an implementation of an enterprise messaging system. In addition to this basic capability, an ESB should offer the following facilities:

- A message queuing capability.
- Message routing.
- Message transformation.
- Adapters for legacy applications
- Implementation of a security model including authentication and support for WS-Security.
- Support for Web service protocols including those specified by the major specifications.
- Support for monitoring and logging message activity.

The benefit of using an ESB within a SOA is that it eases the process of creating an SOA by reducing the number of point-to-point connections required to allow services to communicate each other. Within the boundaries of an ESB, support for multiple protocols and data transformation enables heterogeneous services to behave as if they were homogeneous. Adapters allow us to expose legacy systems as services without programming. The support for reliable and secure messaging and queuing is also available through straight-forward configuration rather than coding. Add in the availability of logging and access control for governance and ESB can be a very useful tool indeed. The downside is that it takes time and effort to develop sufficient familiarity with an ESB tool in order to achieve the maximum benefit from it.

An ESB does not provide a Service Oriented Architecture, but provides the features with which one may be implemented and is not necessarily web-services based. The requestor and provider of the service within an ESB do not have to agree on the message format, message transport or even the target address.

### 2.2.3 Web-services

The application of Web services allows the constitution of an SOA. In general, a Web service is a specific kind of service which can be identified unambiguously by an URI and which uses Internet standards such as HTTP for transport.

The World Wide Web Consortium (W3C) provides a more specific and accurate definition:

"A Web service is a software system designed to support interoperable machine-to-machine interaction over a network. It has an interface described in a machine-processable format (specifically WSDL). Other systems interact with the Web service in a manner prescribed by its description using SOAP messages, typically conveyed using HTTP with an XML serialization in conjunction with other Web-related standards." [W3C Web Services Architecture Group]<sup>1</sup>.

<sup>&</sup>lt;sup>1</sup> Web Services Architecture Working Group http://www.w3.org/2002/ws/arch/



According to this definition Web services are built on top of well-known and platform-independent protocols fulfilling the key requirements of an SOA: the dynamic discovery and invocation of a service is provided by UDDI, WSDL, and SOAP. The usage of XML supports the required platform-independence, and HTTP offers internet-wide interoperability.

In conclusion, web services typically interact applying SOAP messages to exchange XML data. The web services interfaces can be described using the Web Service Definition Language (WSDL) while the Universal Description, Discovery, and Integration (UDDI) standard constitutes a protocol for directory services enabling clients to locate web services and examine the details.

There seems to be general confusion about the relationship between SOA and Web services. In an April 2003 Gartner report, Yefim V. Natis<sup>2</sup> makes the distinction as follows: "Web services are about technology specifications, whereas SOA is a software design principle. Notably, Web services' WSDL is an SOA-suitable interface definition standard: this is where Web services and SOA fundamentally connect." Fundamentally, SOA is an architectural pattern, while Web services are services implemented using a set of standards; Web services is one of the ways you can implement SOA. The benefit of implementing SOA with Web services is that you achieve a platform-neutral approach to accessing services and better interoperability as more and more vendors support more and more Web services specifications.

## 2.2.4 Simple Object Access Protocol (SOAP)

SOAP is a communication protocol used between applications that stands for Simple Object Access Protocol. This protocol is based on XML and is basically a format for sending messages through Internet between different services in different platforms using different programming languages. It is simple and extensible.

SOAP is used primarily for making remote procedure calls across machine and network boundaries. SOAP has these primary advantages:

- Neutrality: Posting data over the HTTP protocol means not only that the delivery mechanism is widely available but also that SOAP is able to get past firewalls that pose problems for other methods.
- Independence: SOAP uses the open standard of XML to format the data, which makes it easily extendable and well supported.
- Extensibility: Because SOAP is a wire protocol based on XML and HTTP, it is possibly the most widely interoperable protocol to date.

This XML-based protocol consists of three parts:

- An envelope, which defines the message structure and how to process it
- A set of encoding rules for expressing instances of application-defined datatypes
- A convention for representing procedure calls and responses

## 2.2.5 Representational State Transfer (RESTful)

More than a decade after its introduction, REST (Representational State Transfer) has become one of the most important technologies for Web applications. Its importance is likely to continue growing

<sup>&</sup>lt;sup>2</sup> https://www.gartner.com/doc/391595/serviceoriented-architecture-scenario



quickly as all technologies move towards an API orientation. Every major development language now includes frameworks for building RESTful Web services. As such, it is important for Web developers and architects to have a clear understanding of REST and RESTful services. While REST stands for Representational State Transfer, which is an architectural style for networked hypermedia applications, it is primarily used to build Web services that are lightweight, maintainable, and scalable. A service based on REST is called a RESTful service. REST is not dependent on any protocol, but almost every RESTful service uses HTTP as its underlying protocol.

Every system uses resources. These resources can be pictures, video files, Web pages, business information, or anything that can be represented in a computer-based system. The purpose of a service is to provide a window to its clients so that they can access these resources. Service architects and developers want this service to be easy to implement, maintainable, extensible, and scalable. A RESTful design promises that.

As a programming approach, REST is a lightweight alternative to Web Services and RPC. Much like Web Services, a REST service is:

- Platform-independent (you don't care if the server is Unix, the client is a Mac, or anything else),
- Language-independent (C# can talk to Java, etc.),
- Standards-based (runs on top of HTTP), and
- Can easily be used in the presence of firewalls.

# 2.2.6 JavaScript Object Notation (JSON)

JSON is an open standard format that uses human-readable text to transmit data objects consisting of attribute–value pairs. It is used primarily to transmit data between a server and web application, as an alternative to XML. Although originally derived from the JavaScript scripting language, JSON is a language-independent data format. Code for parsing and generating JSON data is readily available in many programming languages.<sup>3</sup>

JSON grew out of a need for stateful, real-time server-to-browser communication without using browser plugins such as Flash or Java applets, which were the dominant method in the early 2000s.

Douglas Crockford was the first to specify and popularize the JSON format. The acronym was coined at State Software, a company co-founded by Crockford, Chip Morningstar and Robert F. Napiltonia in April 2001 and funded by Tesla Ventures. The co-founders agreed to build a system that used standard browser capabilities and provided an abstraction layer for Web developers to create stateful Web applications that had a persistent duplex connection to a Web server by holding the two HTTP connections open and recycling them before standard browser time-outs if no further data were exchanged. The idea for the State Application Framework was developed by Morningstar at State Software. It was used in a project at Communities.com for Cartoon Network, which used a plug-in with a proprietary messaging format to manipulate DHTML elements (this system is also owned by 3DO). Upon discovery of early Ajax capabilities, digiGroups, Noosh, and others used frames to pass information into the user browsers' visual field without refreshing a Web application's visual context, realizing real-time rich Web applications using only the standard HTTP, HTML and JavaScript capabilities. Crockford then found that JavaScript could be used as an object-based messaging format for such a system.

<sup>&</sup>lt;sup>3</sup> https://en.wikipedia.org/wiki/JSON



Although JSON was originally based on a non-strict subset of the JavaScript scripting language (specifically, Standard ECMA-262 3rd Edition—December 1999) and is commonly used with that language, it is a language-independent data format. Code for parsing and generating JSON data is readily available for a large variety of programming languages. JSON's Web site lists JSON libraries by language.

JSON is promoted as a low-overhead alternative to XML as both of these formats have widespread support for creation, reading and decoding in the real-world situations where they are commonly used.

## 2.2.7 eXtensible Markup Language (XML)

XML is a markup language for documents containing structured information. The essence of XML is in its name: eXtensible Markup Language.

- Extensible: XML is extensible. It lets you define your own tags, the order and number in which they occur, and how they should be processed. Another way to think about extensibility is to consider that XML allows all of us to extend our notion of what a document is: it can be a file that lives on a file server, or it can be a transient piece of data that flows between two computer systems (as in the case of Web Services).
- Markup: The most recognizable feature of XML is its tags, or elements (to be more accurate). In fact, the elements you will create in XML will be very similar to the elements you have already been creating in your HTML documents. However, XML allows you to define your own set of tags.
- Language: XML is a language that is very similar to HTML. It is much more flexible than HTML because it allows you to create your own custom tags. However, it's important to realize that XML is not just a language. XML is a meta-language: a language that allows us to create or define other languages. For example, with XML we can create other languages, such as RSS, MathML (a mathematical markup language), and even tools like eXtensible Stylesheet Language Transformations (XSLT)<sup>4</sup>.

XML is not a replacement for HTML. XML and HTML were designed with different goals:

- XML was designed to describe data, with focus on what data is
- HTML was designed to display data, with focus on how data looks

HTML is about displaying information, while XML is is a software and hardware independent tool for carrying information.

XSD (XML Schema Definition), a recommendation of the World Wide Web Consortium (W3C), specifies how to formally describe the elements in an Extensible Markup Language (XML) document. It can be used by programmers to verify each piece of item content in a document. They can check if it adheres to the description of the element it is placed in.<sup>5</sup>

Like all XML schema languages, XSD can be used to express a set of rules to which an XML document must conform in order to be considered "valid" according to that schema. However, unlike most other schema languages, XSD was also designed with the intent that determination of a document's

<sup>&</sup>lt;sup>4</sup> http://www.sitepoint.com/really-good-introduction-xml/).

<sup>&</sup>lt;sup>5</sup> https://en.wikipedia.org/wiki/XML\_Schema\_(W3C).



validity would produce a collection of information adhering to specific data types. Such a postvalidation infoset can be useful in the development of XML document processing software.

## 2.2.8 Windows Communication Foundation (WCF)

Windows Communication Foundation (WCF) is a framework for building service-oriented applications. Using WCF, you can send data as asynchronous messages from one service endpoint to another. A service endpoint can be part of a continuously available service hosted by IIS, or it can be a service hosted in an application. An endpoint can be a client of a service that requests data from a service endpoint. The messages can be as simple as a single character or word sent as XML, or as complex as a stream of binary data. A few sample scenarios include:

- A secure service to process business transactions.
- A service that supplies current data to others, such as a traffic report or other monitoring service.
- A chat service that allows two people to communicate or exchange data in real time.
- A dashboard application that polls one or more services for data and presents it in a logical presentation.
- Exposing a workflow implemented using Windows Workflow Foundation as a WCF service.
- A Silverlight application to poll a service for the latest data feeds.

While creating such applications was possible prior to the existence of WCF, WCF makes the development of endpoints easier than ever. In summary, WCF is designed to offer a manageable approach to creating Web services and Web service clients.<sup>6</sup>

## 2.2.9 Resource Description Framework (RDF)

The Resource Description Framework (RDF) is an infrastructure that enables the encoding, exchange and reuse of structured metadata. RDF is an application of XML that imposes needed structural constraints to provide unambiguous methods of expressing semantics. RDF additionally provides a means for publishing both human-readable and machine-processable vocabularies designed to encourage the reuse and extension of metadata semantics among disparate information communities. The structural constraints RDF imposes to support the consistent encoding and exchange of standardized metadata provides for the interchangeability of separate packages of metadata defined by different resource description communities.

RDF is a flexible schema-less data model. It is one of the core technologies of the Semantic Web and the current W3C standard to represent data on the web. As mentioned, it is a data model. It can be compared to the relational model which is the way you organize data in a relational database: group related things in tables with attributes, create links between tables, etc. RDF is just another way of organizing your data as a graph. RDF is a graph. A graph is a representation of objects that are connected by links. In other words, you can have two things which are related in some way through a link that connects them. Take for example the following sentence: Austin is the capital of Texas. The two things in this sentence are Austin and Texas. These two things are related by the link "is the capital of."

<sup>&</sup>lt;sup>6</sup> https://msdn.microsoft.com/en-us/library/ms731082(v=vs.110).aspx



The W3C published a specification of RDF's data model and an XML serialization as a recommendation in 1999. RDF/XML is a syntax implementation to serialize an RDF graph as an XML documents (other serialization formats exist like JSON-LD, based on JSON, etc.). From now on in this document RDF should be understood as RDF/XML.

# 2.3 Crisis Management Standards and Technologies

The following section is based on the information generated as a result of task T45.1 Interoperability standards of DRIVER and compiled in the document D45.1 [1] and also on the information generated as a result of this task T42.2.

Interoperability standards offer the following benefits:

- Reduce life cycle costs: the cost to develop, integrate and support systems is reduced by eliminating "stovepipe" implementations.
- Reduce development and integration time: common communications prevent the reinvention of the wheel, allow for code and conceptual re-use and speed integration since proven technology is employed.
- Framework for technology insertion: with a common interface, as new technologies are created, those technologies can easily be integrated with minor modifications and known and documented consequences into existing systems.

T45.1 has analyzed existing relevant prominent standards, for instance: EDXL, OGC standards for geodata and sensor data or the TSO standard from FP6-OASIS for semantic interoperability.

In the context of the DRIVER project we focus on interoperability between first-responders and crisis management organizations within the EU. Collaboration and coordination there can take place at various levels and between a wide variety of organizations. We can distinguish collaboration within hierarchical structures but also across hierarchical structures and at local level (local accident management), at cross-border regional level within a country), at international cross-border level between adjacent regions, at international level between member states and for coordination purposes at EU-level.

In the survey of interoperability standards performed in T45.1 the most common standards specifically designed for crisis management domain and standards for exchange of maps, imagery and spatial data are described and summarized here as reference.

In the scope of the actual task T42.2, some investigation work has been performed to complete and review the previous information from T45.1 so that it better reflects the actual situation of the standards and technologies within Crisis Management.

The following Table 1 summarizes the list of standards for map, spatial data and imagery:

Interoperability Standards	Full name
EDXL	Emergency Data Exchange Language
САР	Common Alerting Protocol
JC3IEDM	Joint Consultation, Command and Control Information Exchange Data Model



Full name
Norme Française 399
Tactical Situation Object/Emergency Management Shared Information
Keyhole Markup Language
Geo Tagged Image File Format
Geospatial vector data format for geographic information system (GIS) software.
Geographic JavaScript Object Notation
Web Map Service
Web Feature Service
Security Assertion Markup Language
eXtensible Access Control Markup Language
eXtensible Messaging and Presence Protocol
Content Management Interoperability Services
People Finder Interchange Format
STANAG 4545, STANAG 4609

Table 1: Interoperability Standards

The following Table 2 summarizes the evaluation of the different standards performed in D45.1 and improved as part of this deliverable.

Standard	Design and Maintenance	Implementation and configuration	Usage
EDXL SitRep: situation reporting RM: Resource Messaging HAVE Hospital Availability	- Widely used - Family of separate sub standards each with specific functionality incl. workflows.	- Relatively easy	<ul> <li>Relevant in CM domain</li> <li>Only limited usage for hospital availability, situation reporting and tracking of emergency clients</li> </ul>
EDXL DE	- Distribution Element - Envelope for any payload (XML or specified mime type) used in disaster management	- Easy	- Provides general information and references related to the crisis, sender and receiver.

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Standard	Design and Maintenance	Implementation and configuration	Usage		
САР	- Widely used - Simple standard	- Relatively easy	- Only for early warning purposes.		
JC3IEDM	- Mature standard - Very complex standard, complex maintenance	- Complex	- Developed for military purposes		
NF399	- Widely used in France	- Specific French values. Difficult to implement in other countries.	- Limited to incident management		
TSO/EMSI	<ul> <li>Accepted standard by</li> <li>big number of</li> <li>organizations.</li> <li>Difficult maintenance</li> </ul>		- Limited to incident management		
KML	- Depends on using of right tools	<ul> <li>Based on widely accepted XML.</li> <li>KMZ (compressed version) is preferred</li> </ul>	- Widely used, also for crisis management.		
GeoTIFF	- Active development has stalled since 1990s - Stable, cheap and common format	- Widely used and many stable software libraries and components available	- Widely used for aerial/ satellite image		
Esri Shape files	- De facto format for vector data - Simple and cheap	- Widely used and many stable software libraries and components available	- Widely used for vector data		
GeoJSON	- Depends on availability of parsers		- Widely used		
WMS	- Very solid but sometimes difficulties in styling geographic features	- Widely used and many stable software libraries and components available	- Offers easy way to provide digital map display functionalities		
WFS	- Very solid	- Widely used and many stable software libraries and components available	- WFS and WMS in combination provide powerful functionalities		



Standard	Design and Maintenance	Implementation and configuration	Usage
SAML	- Open standard targeting business to business environments	- Well designed and easy to maintain	- Open standard targeting business to business environments
XACML	- Well proven and clear design but complex rules design		
XMPP	- Based on widely accepted XML	- General purpose nature allows using XMPP in any domain.	- QoS not assured - Not suited for binary data
CMIS	- Well suited for interoperability between Content Management systems	- Widely used	
PFIF	- Promoting convergence and all data is traceable		- People Finder Interchange Format for information about missing or displaced people
SensorML, SOS	- Designed for sensor data exchange	-Based on XML and web services	
STANAG 4545		<ul> <li>Needs complicated pre/post-processing</li> <li>Explicit byte counts can cause misinterpretations.</li> </ul>	- Developed for military domain but also suitable for CM domain
STANAG 4609	Table 2: Summary of S		- Developed for military domain. Suitability for CM domain should be carefully analyzed.

Table 2: Summary of Standards Evaluation

### 2.3.1 Recommendations

Finally, there are a couple of recommendations that need to be addressed when deciding which of these standards are more suitable for each situation:



- 1. In the context of DRIVER, an interoperability gap is identified for Incident Management Information for volunteers. It is recommended to start developing step-by-step standard for this areas and test and refine these in DRIVER experiments.
- 2. It is recommended to use the EDXL, CAP, TSO standards within DRIVER and find out during experiments to what extent the standards fulfil the needs of crisis management users.
- 3. For the exchange of geographic information, it is recommended to use standards provided by OGC (<u>http://www.opengeospatial.org/</u>), Esri Shape files, and GeoTIFF.

A limited amount of geo data can be exchanged by using file transfer (e.g. KML, GeoTIFF). For large geospatial data it is recommended to expose corresponding services providing just the requested information (e.g. WMS, WFS).

4. Providing a consistent and federated access control to the data accessed and exchanged seems an important added value of a Common Information Space. The SAML standard to be applied in front of Crisis Management tools and applications should enable a good interoperability to deploy a Single Sign On solution across a CM system of systems.



# **3 Integration Platform**

In this chapter we are presenting the Integration Platform, the selected technologies to be used to implement it and how is the basic architecture description.

# 3.1 Selected Technologies and Standards

## 3.1.1 Service Oriented Architecture and related ICT

For the implementation of the integration architecture Service Oriented Architecture (SOA) based on RESTful Web Services is implemented as it is described for Common Information Space introduction later in this document. Further details are available at Annex 1 SOA.

For generic purpose, the Unicode Character Set and UTF-8 Encoding must be used.

## 3.1.2 Distribution Element (EDXL DE)

The EDXL DE V 2.0 is defined as a standard draft issued by the OASIS Emergency Management TC<sup>7</sup> <u>http://docs.oasis-open.org/emergency/edxl-de/v2.0/csprd02/edxl-de-v2.0-csprd02.odt</u>.

It provides a standard message distribution format for data sharing among emergency information systems, and it serves two important purposes:

- (1) The DE 2.0 allows an organization to wrap separate but related pieces of emergency information, including any of the EDXL message types, into a single "package" for easier and more useful distribution;
- (2) The DE 2.0 allows an organization to "address" the package to organizations or individuals with specified roles, located in specified locations or those interested in specified keywords.

Every message exchanged in the Common Information Space shall be encapsulated in an EDXL DE envelope in order to identify and provide information to enable the routing of encapsulated payloads, called Content Objects. One EDXL DE may contain several different Content Objects if they belong to the same sender, time stamp and descriptive information given in the EDXL DE.

The authentication and authorization of information in the CIS should be handled by the data provided in the DE.

## 3.1.3 Tactical Situation Object (TSO)

The TSO (Tactical Situation Object) was developed under the EU-FP6- OASIS project (2004-2008) and approved as a CEN Workshop Agreement (CWA) in October 2008<sup>8</sup>.

Based on the results from previous CWA, ISO/PRF TR 22351<sup>9</sup> (Societal security - Emergency management - Message structure for exchange of information), still under development at the

<sup>&</sup>lt;sup>7</sup> <u>http://www.oasis-open.org/committees/emergency/</u>

<sup>&</sup>lt;sup>8</sup> CEN, "CEN Workshop agreement CWA 15931, Disaster and emergency management-Shared Situation Awareness", Feb 2009. <u>https://www.oasis-open.org/committees/download.php/42411/CWA\_15931-1.pdf</u> <sup>9</sup> http://www.iso.org/iso/home/store/catalogue\_tc/catalogue\_detail.htm?csnumber=57384



moment of writing this document, is adopting TSO as the message structure for the exchange of situational awareness information in emergency management scenarios.

The TSO is used to transfer the view of an emergency situation as seen by a particular observer at a particular time to another observer, thus contributing to the situational awareness of the various parties regarding a given disaster or crisis event. The message can be used peer-to-peer for observers (either from the same or different organisations) at the same level of the command hierarchy, or used to send information up and down the hierarchy.

The TSO message follows an XML structure (that is embedded into an EDXL DE envelope for its transfer) based on a concrete object model whose main entities are:

- the events, understood as something that takes place which an agency should respond to (e.g. a natural or man-made disaster),
- the resources available to support or help in the response to the events, and
- the missions aimed at handling the events and thus reducing their impact.

The objective of the TSO specification is to ensure that the semantics of an individual message are unambiguous; however, it does not prescribe how to merge messages or how to transfer them.

## 3.1.4 Common Alerting Protocol (CAP)

The Common Alerting Protocol is a standard provided by OASIS<sup>10</sup>, standard definition is provided at <u>http://docs.oasis-open.org/emergency/cap/v1.2/CAP-v1.2-os.doc</u>. CAP is a simple but general format for exchanging all-hazard emergency alerts and public warnings over all kinds of networks.

The CAP protocol is used in the DRIVER Common Information Space (CIS) in its current version V1.2, in order to communicate alerts, warnings and notifications from any application that detects a critical situation (e.g. call center, sensor system, mobile device) to all interested systems (e.g. common operational picture, public alerting device).

The CAP message is sent embedded in the EDXL DE envelope. The consistency of redundant data in the envelope and the payload (CAP message) has to be guaranteed by the sending adaptor. It is possible that the sender information differs between EDXL DE and CAP, e.g. in case of forwarded messages. For authentication and authorization purpose, always the information in the envelope counts and the sender is responsible to maintain confidentiality of forwarded messages.

The sender of CAP messages is further responsible to be in line with the standard and to avoid sending corrupted messages. The receiver of CAP messages shall accept all features defined in the standard. If the receiver can't process a CAP message, it should reply with a CAP error message to the sender (status=system, msgType=error).

In addition to the data elements defined within the standard, additional information might be provided in parameters>. These parameters can be specified in CAP profiles to be agreed upon between specific applications, and might be ignored by applications not concerned with the profile. Further on the DRIVER project may recommend a European CAP profile that defines specific use of optional attributes and value lists in the context of European CDM (example: see Australian CAP profile,

http://docs.oasis-open.org/emergency/edxl-cap1.2-au/v1.0/cs01/edxl-cap1.2-au-v1.0-cs01.doc)

<sup>&</sup>lt;sup>10</sup> <u>http://www.oasis-open.org/</u>



### 3.1.5 GIS Standards

Geospatial information to be handled as a map layer can be embedded in the EDXL DE as Content Object "OtherContent" (non-XML). The geospatial information has in this case to follow the selected standards.

The applicable GIS standards are described in D45. 1 – Interoperability Standards [1]. For the implementation of the CIS the following were selected:

WMS (Web Map Service) and WFS (Web Feature Service) are standards defined by OGC<sup>11</sup>. The data (map information) is provided as Web Service. The information transmitted in the CIS is just the URL where the service can be consumed. The service itself will not be routed over the CIS. All necessary meta-data and service descriptions needed for the consumption of the service have to be exposed and can be queried at the service location. The scope of services is assumed as provided by GeoServer<sup>12</sup>.

GeoTiff is a public domain raster image format which provides geographical metadata. As GeoTiff files tend to be very large, only small and limited images shall be transmitted in this format. For large images (e.g. satellite or aerial images of a wider area), the image provider shall render the images and transform them into WMS.

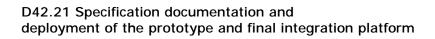
ShapeFile is the de facto standard format for vector data. One "shapefile" consists of more than one physical file: main file containing geometric objects like points or polygons, the data-file which stores additional data for each geometric object, the index-file holding an index to each record in the data-file. Depending on the used tools other accompanying files might exist e.g. holding spatial projection details. So shapefiles are handled as archives (ZIP) containing all files belonging to one "shapefile".

# 3.2 Portfolio of CM tools

During DRIVER's SP4 Initial Inventory of tools meeting, that took place at Aix-en-Provence, France, in November 2014, a series of demonstration sessions were carried out in which several tools in the scope of WP43, WP44 and WP45 were presented and evaluated. These tools were initially classified into different categories according to the main features they include. This classification was improved during the efforts developed within SP4, and it is shown by the next diagram:

<sup>&</sup>lt;sup>11</sup> <u>http://www.opengeospatial.org/</u>

<sup>&</sup>lt;sup>12</sup> http://geoserver.org/





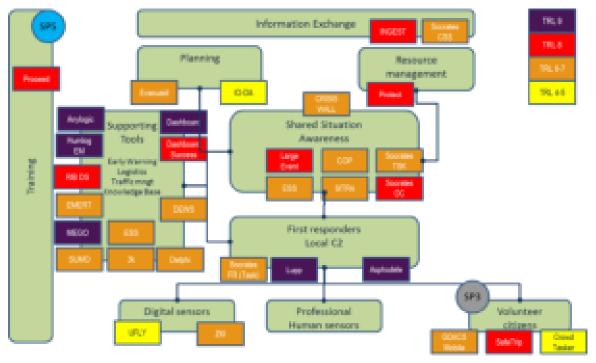


Figure 1: Portfolio of tools: preliminary classification

The green boxes in Figure 1 represent the categories where these tools were classified into when they were evaluated during the SP4 Initial Inventory of tools, while coloured boxes depict each tool according to the respective Technology Readiness Level. As explained before, this classification was made according to the main features shown by the tools. The connectors between categories represent the potential exchange of data between the tools encompassed by them. This data exchange would be supported by the tools grouped under the "Information Exchange" category.

It has to be also noted that some of the tools include features that were considered related not only to SP4, but also to other SPs. This is for instance the case of SUMO tool into the category "Supporting tools" (associated to SP2-test bed tools), the tools classified into the "Citizen (non volunteers)" and "Volunteering citizens" categories (associated to SP3) or into the "TRAINING" category (associated to SP5), as shown by Figure 1.

The maturity of the tools is evaluated according to the Technology Readiness Levels (TRL).

The 9 levels as defined by the European Commission (extracted from HORIZON 2020 – WORK PROGRAMME 2014-2015) are:

- 1. TRL 1 basic principles observed
- 2. TRL 2 technology concept formulated
- 3. TRL 3 experimental proof of concept
- 4. TRL 4 technology validated in lab
- 5. TRL 5 technology validated in relevant environment (industrially relevant environment in the case of key enabling technologies)
- 6. TRL 6 technology demonstrated in relevant environment (industrially relevant environment in the case of key enabling technologies)
- 7. TRL 7 system prototype demonstration in operational environment
- 8. TRL 8 system complete and qualified
- 9. TRL 9 actual system proven in operational environment (competitive manufacturing in the case of key enabling technologies; or in space)



The Technology Readiness Levels (TRL) of each tool has been self-evaluated by tools providers.

Tool	Category	TRL
RIB Dangerous substances	Supporting tool	9
Large Event	Shared situation awareness	8
Ingest	Information Exchange	8
Crowdtasker	Volunteer Citizens	4-5
СОР	Shared situation awareness	6
Socrates-CSS	Information Exchange	7
Socrates FR	First responders Local C2	6
Socrates OC	Shared situation awareness	8
Socrates TSK	Shared situation awareness	6
ESS	Supporting tool	7
UFLY	Digital sensors	5
ЗК	Supporting tool	6
EmerT	Supporting tool	6
ZKI	Digital sensors	6
SUMO	Supporting tool	1-9
IO-DA	Planning	4-5
Delphi	Supporting tool	7
DSS-Logistics	Supporting tool	3
DEWS	Supporting tool	7
PROCEED	Training	3-8
PRoTect	Resources management	8
SITRA	Shared situation awareness	3-6
GDACS mobile	Volunteer Citizens	6
HumLog	Supporting tool	9
AnyLogic	Supporting tool	9
Dashboard	Supporting tool	9
Dashboard SUCCESS	Supporting tool	8
Mego	Supporting tool	9



Tool	Category	TRL
EvacuAid	Planning	6
SafeTrip	Volunteer Citizens	8
Crisis Wall	Shared situation awareness	7
LUPP	First responders Local C2	9
Asphodèle	First responders Local C2	9

Table 3: CM Tools TRL

# 3.3 Architecture Requirements

The Architecture requirements list has been refined and reworked from the list that was provided as part of D42.1 [2] and that has been used as input for the work on this section. The requirements are now classified according to different categories.

- Functional Requirements: Functional requirements of the system that are expressed in the natural language style (as opposed to Use Cases).
  - 1. A common information space (CIS) will handle the sharing of data among the tools providing a publishing-subscribing mechanism.
  - 2. As basic service, the information space shall not store data for operational purposes, it shall only connect systems and transport data.
  - **3.** A "situation manager" shall be able to open up a specific information space for a specific crisis situation and to invite participants.
  - 4. The CIS should ensure that the exchanged data are syntactically correct.
  - 5. It shall be possible to add value added services (VAS) within the CIS. e.g. for providing aggregated data, for translating data, for collaboration of stakeholders and establishment of a "trading zone", etc.
- Documentation and Help: Requirements for on-line user documentation, help systems, help about notices, etc.
- Usability: Requirements that affect the usability of the system, like language, accessibility, User Interfaces, etc.
- Security: Security requirements of the system defining its needed ability to safeguard data against loss or exposure, and to resist disruption by outside partners. Security is the ability to protect an IT system against malicious use whilst at the same time allowing legitimate use.
  - 6. Authentication will be required for a tool to connect to the CIS.
  - 7. CIS would provide a certification authorization mechanism so that only tools with the required security level would be granted access to classified data.
  - 8. CIS should provide Audit and Logging tools.
- Availability and Reliability: Non Functional Requirements regarding availability, reliability and planned maintenance.
- Performance and Capacity: Capacity and performance levels the system must satisfy. Performance is the degree to which a system or component accomplishes its designated



functions within given constraints, such as speed, accuracy, or memory usage. Capacity is a measure of the resource usage of the system (e.g. memory, disk space, process threads)

- 9. Scalability is to be supported.
- Supportability: Requirements that will enhance the supportability or maintainability of the system, like support documentation, corrective maintenance, etc.
- Systems Management and Manageability: System management requirements of the system and concerns the operations and administration of the software and hardware systems, like starting and stopping, backup and recovery, etc.
  - 10. CIS should offer the possibility to be administrated so that topology and configuration could be updated.
- Data Integrity: Concerns the ability of the system to protect data and preserve transactions, like data persistency, etc.
- Interface: Interfaces that must be supported by the application, either user interfaces or software interfaces, like web, database, client, etc.
  - 11. Interfaces will be defined for interoperability.
- Business Constraints: Business constraints that the system must satisfy.
- Technical Constraints: Technical constraints that the system must satisfy.
  - 12. Interface shall be technology-agnostic.
  - 13. CIS shall be technology-agnostic.
  - 14. A common standard format for the exchange of information in the CIS should be agreed at SP4 level, it will be used by all tools involved by means of an adaptor whenever needed.
  - 15. Original format can be consumed to avoid data loss by double conversion
  - 16. Interoperability on the semantic layer shall be partially ensured by using common taxonomy, so that it can be understood by all connected systems.
- Applicable Standards: Requirements in terms of applicable standards, like XML, UTF-8, CAP, TSO, etc.
- Licensing Requirements: Any licensing enforcement requirements or other usage restriction requirements which are to be exhibited by the software, like limited usage, open source, etc.
- Legal, Copyright and Other Notices: Any necessary legal disclaimers, warranties, copyright notices, patent notice, wordmark, trademark, or logo compliance issues for the software.

As a summary, find bellow a table with the complete list of the requirements:

Requirement	Priority	Optional	Category
A common information space (CIS) must handle the sharing of data among the tools providing a publishing-subscribing mechanism	First		Functional



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Requirement	Priority	Optional	Category
As basic service, the information space shall not store data for operational purposes, it shall only connect systems and transport data	First		Functional
A "situation manager" shall be able to open up a specific information space for a specific crisis situation and to invite participants	First		Functional
The CIS must ensure that the exchanged data are syntactically correct	First		Functional
It shall be possible to add value added services (VAS) within the CIS. e.g. for providing aggregated data, for translating data, for collaboration of stakeholders and establishment of a "trading zone", etc.	Third	Yes	Functional
Authentication will be required for a tool to connect to the CIS	First		Security
CIS should provide a certification authorization mechanism so that only tools with the required security level would be granted access to classified data	Second		Security
CIS should provide Audit and Logging tools	Second		Security
Scalability should be supported	Second		Performance and Capacity
CIS should offer the possibility to be administrated so that topology and configuration could be updated	Second		Systems Management and Manageability
Interfaces must be defined for interoperability	First		Interfaces
Interface shall be technology-agnostic	First		Technical Constraints
CIS shall be technology-agnostic	First		Technical Constraints
A common standard format for the exchange of information in the CIS must be agreed at SP4 level, it will be used by all tools involved by means of an adaptor whenever needed	First		Technical Constraints
Original format should be consumed to avoid data loss by double conversion	Second	Yes	Technical Constraints



Requirement	Priority	Optional	Category
Interoperability on the semantic layer should be partially ensured by using common taxonomy, so that it can be understood by all connected systems	Second		Technical Constraints

Table 4: Architecture Requirements

# 3.4 Common Architecture Description

This section describes the common architecture design that has been decided to implement the System of Systems. It is mainly based on the Service Oriented Architecture approach, and the specific implementation guidelines are described as the Common Information Space or CIS.

## 3.4.1 CIS, Common Information Space

The requirements and principles of the Common Information Space as an Integration Platform (middleware) that enables the secured information exchange between the participating applications are defined in [2] D42.1, section 4.3.

Every application integrated in the CIS can offer data (information provider) and/or receive data (information consumer) in standardized formats and via defined communication protocols without the need for particular interfaces between dedicated partners. If the application uses data communication protocols that are not supported by CIS, the protocols have to be converted by adaptors. Beyond the harmonisation of data connection (physical interoperability) and data formats (syntactical interoperability), key terms and taxonomies are translated by the adaptors from the proprietary form of the provider to a standardised form in the CIS and back to the proprietary form of the receiver.

When an application joins the CIS, it has to register its services in order to enable other applications to address the offered services. A metadata model enables the applications to find out services that fit with their own purpose. The registration process might be subject of authorisation and role concepts (*to be elaborated*) in order to establish a protection hierarchy and to prohibit unauthorised access to sensitive data.

The Common Information Space is a data sharing platform but not a data repository, and it doesn't have any business logic concerning interpretation and processing of the transported data. Nevertheless, value added services can be attached to the CIS and made available for authorised users (e.g. logging and legal recording, reporting, monitoring ...).

### 3.4.1.1 CIS Adaptors

The Adaptors link the participating tools to the Common Information Space. For every tool and every used data protocol, a specific adaptor has to be implemented. Adaptor templates will be provided by the project team in order to enable the tool providers to write their adaptors in an easy and fast way. The Adaptors stay in the responsibility and run on the server of the tool owner. Every access to the



data hosted by the Adaptor is monitored by the authorisation concept implemented in the Adaptors and is recorded for audit and tracing purposes.

Every Adaptor consists of three parts:

- A. CIS Connector: manages the communication with the tool and translates proprietary protocols to standards. The Connector is written by the tool provider based on the template.
- B. CIS Core: manages central functions in a uniform way. Value added services can be integrated in the Core (available for the whole system f systems).
- C. CIS Distributor: manages the connections inside the CIS and the data exchange with the other Adaptors in the CIS.

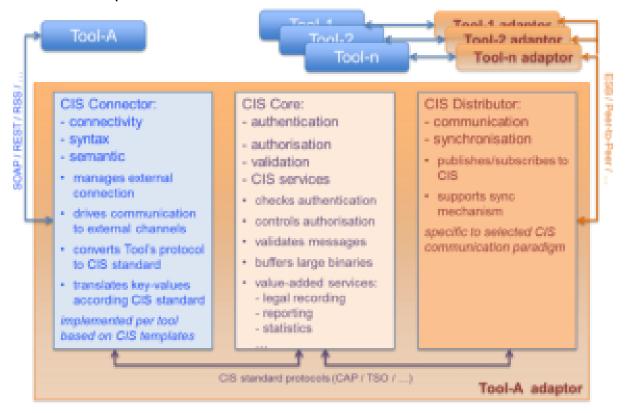


Figure 2: CIS Adaptor architecture

#### 3.4.1.2 CIS Connector

The CIS Connector handles the communication on the side of the tool – that means it covers all code specific to the protocols the tool uses. Therefore it has to be assembled and configured by the tool owner or manufacturer based on the adaptor template.

The template consists on components providing the following functions:

• Network connectivity module receives/sends messages from/to the tool according the used network protocol.

Templates for REST, SOAP and RSS connections will be prepared in the first step. The tool owner has to maintain network configuration tables with the addresses of the services to be connected.

 Data format converter transfers proprietary data formats of the message to/from the standard messages exchanged in CIS (this step may be bypassed if the tool already uses the appropriate standard).



- Taxonomy translator replaces proprietary key values and enumerations by standardized ones and vice versa, based on translation tables to be provided by the tool owner (ambiguities and gaps between the taxonomies have to be resolved in the translation tables and may lead to loss of information).
- EDXL DE generator assembles the parameters for the EDXL Distribution Element that envelops all messages distributed in CIS. The template will provide a minimum set of default values that might be extended by the developer of the CIS connector. I.e. security related parameters can be added dependent on the message content.
- Logging, for debugging purposes only.

### 3.4.1.3 CIS Core

The CIS Core can't be modified by the adaptor provider. It manages central CIS features, partly based on the EDXL DE parameters transferred:

- Authentication assures that incoming messages originate from a trusted partner application, according to the service registration.
- Authorization services control the flow of information and protect sensitive data from unauthorized access. Appropriate encryption mechanisms will be defined and implemented.
- Validation of the transferred messages assures the formal correctness and application of standards. Improperly formatted messages will be rejected.
- EDXL DE Wrapper packs the information into an EDXL Distribution Element (envelope) that adds meta-information to the payload message.
- Object Buffer function stores large binary objects (message attachments) in an accessible store (e.g. FTP server) and replaces them by the URI in the message.
- Message Buffer stores all outgoing messages in order to enable the partner applications to query previous messages, e.g. in the case of sync after network interruption.
- Value Added Services (optional plug-ins) may make use of the transferred information e.g. for message logging, auditing, reporting or statistics.

### 3.4.1.4 CIS Distributor

The CIS Distributor manages and synchronizes the message exchange between the various partner applications in the CIS.

It supports two different distribution mechanisms:

1. Push technology Publish – Subscribe: The information provider posts a message to CIS.

The distributor sends the message to all information consumers (other Adaptors) that have been registered on this service (information type, topic). The publisher doesn't care if the message is actually received by all the subscribers. This mechanism suits for public information.

2. Pull technology Request – Response: The information consumer asks a dedicated information provider for defined pieces of information. The CIS will support criteria based on the EDXL DE structure, e.g. time sent, target area, content key word. The information provider (CIS Core) decides if the requestor is an authorized information consumer, and answers the request with appropriate messages that have been stored in the Message Buffer. The request-



response method can be combined with a notification mechanism that publishes the existence of a new message but not the content.

#### 3.4.1.5 CIS Service Registration

In order to deploy the CIS onto a given network (either being accessible from the Internet or not), CIS servers will be installed and run on one or more nodes (depending on the network size) that will be accessible from the rest of the nodes connected to the network. Tools will be added to CIS through the specific adaptor by providing to the users the corresponding credentials (that might consist for instance of an identifier and a password, depending on the implemented authentication and authorization mechanism) and the locator of the specific network resource where the corresponding CIS server is running. The users will be also provided with the specific interface to be followed in order to correctly access CIS services.

For instance, in case of an implementation based on Web Services, application servers would be installed in one or more network nodes where the specific Web Services would be registered.

Tool providers developing CIS adaptors would need to implement a Web Service client according to the CIS Web Services' interface, which would be defined in the WSDL available at the corresponding address (URL). Authorized users would then be able to make use of the CIS services by calling the operations defined in the mentioned interface.

### 3.4.1.6 CIS Data Security

Security shall be designed from the beginning in order to ease a security by design paradigm. Therefore, CIS Core part embeds means to take in charge the first main security functionalities such as authentication of the sender and authentication and authorization of the access to the data.

To meet CIS general purpose, generic enough solutions should be implemented, based on well-known open standards.

Signature of EDXL messages shall be a good means to check the authentication of the issuer of the data. CIS Core shall then behave as an Enforcement Point where the signature is checked before the message could be sent to the CIS.

For Authorization, assuming that a common Identity Management Service is available, refinements using the XACML standards could be proposed.

### 3.4.2 Common Information Space Architecture Options

As the Common Information Space defines the architecture to be implemented for the intercommunication between different systems and the interexchange of Crisis Management related information there are some options that can be used to implement the distribution channel between different CM tools within CIS. This means that it is possible to select different CIS solutions based on the chosen distribution option and also to interconnect different CIS solutions as it is possible to check in the next sections. For the CIS distribution options the following candidates are presented:

- Common Shared Services
- Peer To Peer
- Enterprise Service Bus

These options are described in detail in the following sections.



#### 3.4.2.1 Common Shared Services (CSS)

Socrates CSS (Common Shared Services) is a collaborative tool aimed at enabling the information sharing between heterogeneous systems in a multi-organizational environment.

This information sharing is built on a *Service Oriented Architecture* based on the *Web Services* technology and a *publish-subscribe* mechanism. The main features provided by the tool are:

- Publishing, updating, requesting and subscribing to structured and unstructured data.
- Validation of data in accordance to a specific hierarchy/taxonomy of metadata.
- Notifications to interested parties (subscribers) about availability of new data.
- Persistence (storage of data/metadata for later query and retrieval).
- Redundancy (by deploying several synchronized instances of the tool on the network).
- Authentication and authorization certification mechanism for connected systems.

The core infrastructure of the Socrates CSS tool enable its usage in different domains just by adding new services that allow to transfer new kinds of data associated to a given metadata model. For instance, a service aimed at exchanging data based on the TSO message structure (see section 3.1.3) may be added in order to share situational awareness information in a crisis management domain. Moreover, this approach also allows to easily include other domain specific value-added services in order to improve the cooperation of involved parties in a collaborative environment (for instance, services for tasking and/or management of available resources).

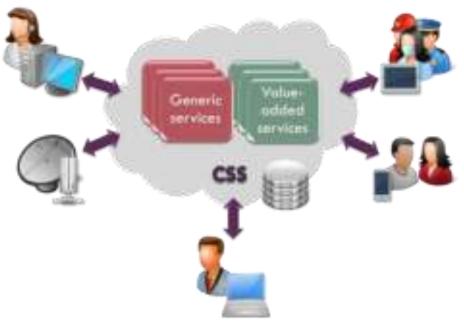


Figure 3: Socrates CSS architectural approach

Previous features make Socrates CSS a suitable candidate for the implementation of the CIS described in section 3.4.1. They also make the tool be aligned to a great extent to the architecture requirements specified in section 3.3.

### 3.4.2.2 Peer To Peer (P2P)

Peer-to-peer networks (P2P) are computer networks in which all computers in the network equal work. This means that each computer offers to other computers services and can on the other hand use by other computers offered resources, services, and files. Usually the data are distributed on



many computers. The peer-to-peer approach is a decentralized concept, without a central server, such as the Internet. Each computer of such a network can be connected to several other computers. P2P Networks will be separated into 2 groups:

• Simple Peer To Peer Network or Self Organised Network (SON)

Simple peer-to-peer networks organize themselves as Self Organized Network (SON), they are decentralized and have no server. In such a decentralized peer-to-peer network, the workgroup employees provide mutually resources.

• Super Peer Network

A further development of peer-to-peer network with central server components is the super-peer networks. In such a configuration particularly powerful peers are connected to super-peers that provide the server services and organize the network. They are responsible for the routing of data from the remote client to the backbone network.

### 3.4.2.3 Enterprise Service Bus (ESB)

An Enterprise Service Bus (ESB) is a software architecture model used for designing and implementing communication between mutually interacting software applications in a Service-Oriented Architecture (SOA). It handles the messaging between systems in a standard way. This allows you to communicate with the "bus" in the same exact way across all your platforms. This means that data files are passed to and from their destinations based on established guidelines that are common to all parties sharing the information to ensure that the data maintains its integrity as it is routed. The multi-language and multi-platform design of an ESB allows enterprises to process data between applications from various sources.

### 3.4.3 Common Information Space Supporting Tools

As part of the Common Information Space definition is possible to include some supporting tools that could help CIS solutions with specific functionality that is not part of the basic required CIS functions. These are the CIS supporting tools that could help CIS solution with the following functions:

### 3.4.3.1 Cyris for Office 365

Cyris for Office 365 is a solution which protects data and manages identity and access for Office 365 and Azure data storage. It is meant for sensitive data which cannot be disclosed publicly and should remain in an internal environment. It provides a solution for Owned and Managed Encryption with Customer Keys, as opposed to other built-in Microsoft solutions.



# Office 365 Security Control

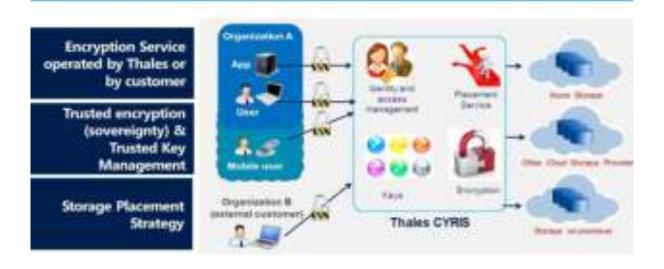


Figure 4: Office 365 Security Control

Cyris comprises the two main features:

- Encryption capabilities for object storage over a Cloud
- A complete Authorization portal to manage identity and access, enabling a secure object exchange over the Cloud.

## Thales CYRIS - Functional view



#### Figure 5: Cyris functional view

Cyris behaves like a broker which proposes encryption and strong security architecture including:

- Encryption of the data according to various security profiles (algorithms, key length, type of encryption, padding)
- Robust key management (CEK, KEK); Thales trusted crypto libraries



- Use of certified HSMs (FIPS 140-3 compliant)
- Standards and security requirements, regulations and recommendations (CSA, ANSSI, RGS, NIST)

#### 3.4.3.2 INGEST

Ingest provides data transformation and protocol adaptation capabilities. It's based on the Talend open source software. In the Common Information Space architecture, it could be the base for the development of the adaptors.

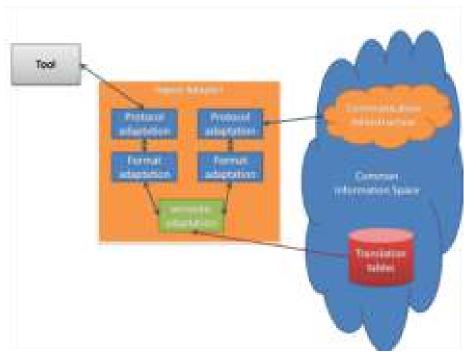


Figure 6: Ingest based Common Information Space adaptor

Ingest provides tools to define and run adaptation at the following levels:

- Protocol with syntactic validation
- Format with syntactic validation
- Semantic

The protocol adaptation part is responsible on one hand of the technical link with the Driver tool of legacy system and on the other hand of the technical link with the communication infrastructure of CIS. It is responsible of the syntactic validity with the protocols definition.

The format adaptation part is responsible of the extraction and insertion of the data according to the used data formats. It grants the syntactic validity with the formats definition.

The semantic adaptation part is responsible of the technical and semantic translation of the data between formats and protocols. It could use the translation tables of the Common Information Space.



## 3.4.4 Background from other projects

As part of the investigation work delivered to define CIS architecture other projects have been analyzed with some benefits for the work of this task. The following projects were the most relevant:

#### 3.4.4.1 ODYSSEY

Globalization has been accompanied by a dramatic increase in organized and transnational crime and terrorism. It takes many forms and includes homicide, genocide, honour killings, trafficking in drugs, weapons, smuggling of human beings and the laundering of the proceeds of crime. Such activities present a threat not only to citizens and their communities, due to lives being destroyed by violence, threats and intimidation, drugs and societies living in fear of organized crime; but also a global threat.

These threats undermine the democratic and economic basis of societies through the investment of illegal money by international cartels, corruption, a weakening of institutions and a loss of confidence in the rule of law. Enabling cooperation across the EU is vital. Whilst there is both political and operational commitment to share data and there is no shortage of ballistics and crime information data across the EU, there is currently no technical means to do this. ODYSSEY project [4] progressed on the necessary research and development to fill this gap and provide a Platform to demonstrate the effect and potential of an EU wide Platform using technical forensic data and crime information. The Project developed a secure interoperable situation awareness platform for the automated management, processing, sharing, analysis and use of ballistics data and crime information to combat organized crime and terrorism.

The main aim of ODYSSEY project is to define a security layered architecture able to support a secure Pan-European ballistics and crime information intelligence network aiming to tackle organized crime and terrorism.

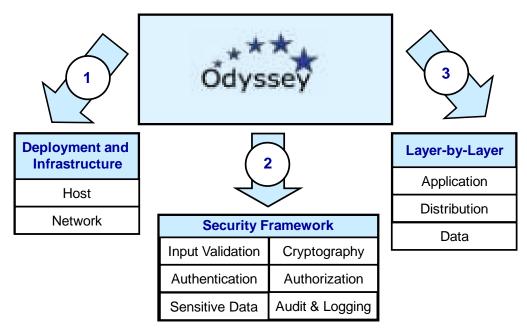


Figure 7: ODYSSEY Security Architecture Methodology

The approach used was based in three major aspects related to security architecture:



- Deployment and infrastructure. At this level, the constraints imposed by the underlying infrastructure-layer security and the operational practices in use should be considered.
- Security framework. The security framework includes considerations at both the architectural and design level that have the most impact on security and where security incidents often arise. The main categories included are: authentication, authorization, input validation, exception management.
- Layer-by-layer analysis. Consider the logical layers of the system, and define the security choices within application, distribution, and data access logic layers.



## 4 Experiments

## 4.1 EXPE 40: Enhanced contribution of airborne sensors

### 4.1.1 Objectives

The objective of EXPE40 is to integrate selected systems that are related to the airborne sensor suite. In preparation of the following experiments (JE1, FD) the interoperability of the complete airborne sensing system with its aerial and ground components will be verified through this experiment. With regards to the ACRIMAS gaps, it addresses mainly the "Early Warning Capabilities" (Gap 4) and "Acquisition of information from external sources" (Gap 10).

## 4.1.2 Experiment Description

EXPE40 is the conduction of a real flight trial executed at DLR's research airport in Braunschweig. The experiment will take place during the first two weeks of September 2015. During the first week, DLR's 3K camera system and its relevant onboard equipment will be attached to the RPAS demonstrator. During the flights, the datalink connection between air-based and ground-based components will be verified. On ground, imagery data will be shared between participating tools. The following tools are going to be part of EXPE40:

- RPAS-demonstrator
- U-Fly
- 3K camera system
- EmerT
- Sumo
- ZKI

During the experiment, all tools can share data through a common server. The aerial sensor data will be provided in Geotiff format and shared over MTP. The ZKI creates all information in its Service Lab at the Earth Observation Center in Oberpfaffenhofen and provides all derived information such as flood masks and flood maps (2D and 3D) via a FTP server that is hosted at the Mobile Traffic Data Platform (TDP).



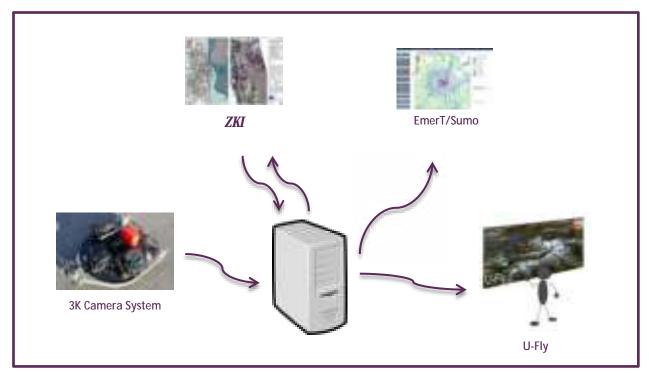


Figure 8: EXPE40 Data Exchange

Imagery data will be visualized through the use of DLR's research ground control station U-Fly and DLR's center for satellite information ZKI. DLR's tools EmerT and Sumo will also take into account extracted traffic information.

The experiment will illustrate aerial sensor processing in a flooding crisis: A nearby lake (Tankumsee) will be used as a basis for a simulated flooding. Based on first geographical information about the extensions of the flooding, U-FLY will determine an optimal flight path for the RPAS demonstrator to collect real-time sensor images of the affected area. During the RPAS-flight the sensor data will be provided to the ground systems. ZKI will provide flood mapping images based on the collected aerial images, which will be shared with U-FLY, EmerT and SUMO. A second flight with a scan pattern over the flooding area and nearby major road networks (e.g. highway A2) will be dynamically planned and executed to:

- Detect unusable traffic infrastructure (EmerT/SUMO)
- Provide efficient route planning based on traffic data (EmerT/SUMO)
- Illustrate sensor adaptive flight planning (e.g. person detection) (U-FLY)

During the experiment, the data exchange will be carried out independently from the Common Information Space (CIS). The connection via CIS will be established and tested within the preparation and execution of EXPE44. If the flight trials are successfully conducted, collected data can be stored and used during this EXPE44.



Tool	Data Flow	Direction	Format/protocol	Transformation
U-Fly	Georeferenced aerial and satellite images	Input	geoTiff / MTP (sensor data)	
	Validated Flight Plans	Output	XML (flight planning)	
3K Camera System	Georeferenced aerial images	Output	Geotiff via ftp	
	Automatically extracted traffic parameters from the aerial images (vehicle positions, driving direction, and speed)		PostGresQL database entries to EmerT tool	
EmerT	Georeferenced aerial images (3K)	Input	Geotiff via ftp	
	Automatically extracted traffic parameters (3K)		PostGresQL database entries to EmerT tool	
	Road-network (OSM / NAVTEQ)		Database import Database import	
	Traffic data		Database import	
	Aerial images and traffic data to support the analysis of situation Isochrone-map risk routing which includes likelihoods of risks for possible routes	Output	PostGresQL database OGC web services and REST Services	



Tool	Data Flow	Direction	Format/protocol	Transformation
	Traffic-data fusion and prediction			
Sumo	Road-network Traffic Data Simulation Scenario	Input	PostGresQL database XML	
ΖΚΙ	(1) Flood mask, (2) Flood maps, (2D, focus on3D)	Upload on FTP hosted at Mobile Traffic data platform (TDP)	Exchange via FTP protocol: (1) as ESRI Shape file (*shp), (2) 2D maps as GeoTIFF, JPEG+JGW, and optional KML/KMZM; 3D representation is provided as PDF	

Table 5: EXPE 40 Data Flow

## 4.2 EXPE 41: Operational Data Lift

The objective of EXPE41: Operational Data Lift, is to optimize the information workflow between local and higher levels of command. It is designed in the perspective of WP46, and SP6 Final Demo which will be also hosted by Valabre.

The experiment will be prepared and executed with Pole Risques and EPLFM at Valabre (France), in November 2015. It is addressing an operational gap which was described by an EMIZ officer in Aixen-Provence during the Initial Inventory of Tools. With regards to the ACRIMAS [3] gaps, it addresses mainly the "Understanding the relief effort as a whole" Gap 6.

The following SP4 tools will be involved in Experiment 41:

- Local level: Lupp, Asphodèle
- Regional level: Large Event, COP
- Political level: Crisis Wall

The main SP2 tool involved will be the new Simulator (EPLFM), which enables the simulation of crisis management operations on a large fictitious Island (Valabre Island).

The scenario will be a complex crisis: forest fire with industrial cascading effect. At the local level, the actors will be Fire Brigade, Health and Police. The experiment will be structured like an exercise where local, regional and political level will be played, the major focus being the elaboration of the Common Operational Picture (at regional and political level). The Common Operational Picture will



be elaborated on Large Event and Cop Tools at regional level by merging information sent from local level by Asphodèle and LUPP tools.

The experiment process will consist in playing the same scenario twice: once with tools supporting the elaboration of the Common Operational Picture, and once without tools, following the current manual procedure. In manual procedure, the systems at each level are not connected and the information about the situation is sent by voice communication or manual exchange like e-mail.

The time and effort required will be measured and compared for both process (manual and supported by tools) and the quality of information produced in the Common Operational Pictures will be compared to the ground truth known by the simulator of EPLFM. Relevant criteria and indicators will be defined with end-users.

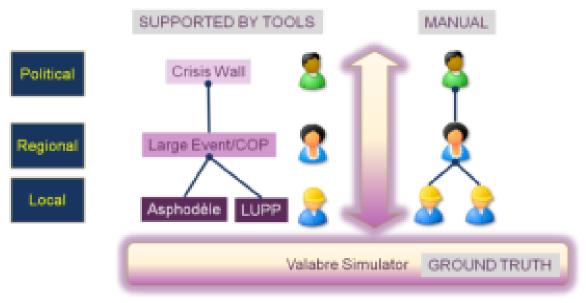


Figure 9: EXPE 41 Operational Data Lift

The following Table 6 lists the data flows to be used during the experiment 41.

The direction column points out the direction of the information.

The format/protocol column describes the format and the protocol to be used to exchange the information.

The transformation column point out the transformations needed to comply with the common architecture.

Tool	Data flow	Direction	Format/protocol	Transformation
Crisis Wall	Situation	Input	To be defined	
Large Event	Situation	Input	EDXL CAP	
			Protocol to be defined	
	Situation	Output	To be defined	
СОР	Situation	Input	EDXL CAP	
			To be confirmed	



Tool	Data flow Direction Format/protocol		Transformation	
Asphodèle	Situation	Output	To be confirmed	
LUPP	Situation	Output	EDXL CAP	
			To be confirmed	

Table 6: EXPE 41 data flow

## 4.3 EXPE 42: Interaction with citizens and volunteers

## 4.3.1 Expe 42 goals

EXPE42 aims at the evaluation of usability and value of tools and processes for the interaction of professional responders with citizens in the context of specifically designed scenarios, and to explore the capabilities of the tools to integrate in the system of systems. The subsequent Transverse (WP46) and Joint (WP63, WP64, WP66) experiments are based on the results of EXPE42 and will use selected tools in combination with tools and procedures of the other work packages and evaluate the integration of tools, data and procedures in a comprehensive, realistic disaster scenario.

The interaction of responders' organisations with citizens and volunteers is relevant for both, SP3 and SP4. SP3 is interested how citizens can be supported contributing to the crisis handling and how relevant information can be effectively transmitted to the public before and during a crisis. SP4 however investigates how the knowledge and information available at citizens can be leveraged for increasing situational awareness, how crisis managers and commanders can control and direct the cooperativeness of volunteers and how they can be enabled to broadcast warnings and situational reports to the affected people.

Interaction with citizens and volunteers as experimented in Expe42 covers two main aspects:

- 1. Crisis communication with citizens during all phases.
- Broadcast of information (warnings and current situation reports) to the public by the Crisis Managers, based on the situation awareness and common operational picture
- Collect information from the public that amends the available situation awareness, represented in the common operational picture
  - from social media analysis
  - querying information from volunteers (citizens as sensors)





Figure 10: EXPE42 Crisis Communication

- 2. Organising and tasking of spontaneous and pre-registered volunteers during preparedness and response phase
- Manage volunteers that are not affiliated to responder organisations; organisation and activation of pre-organised volunteers.
- Assign tasks to the volunteers that can be performed in a safe way without guidance and supervision by professional responders; micro-tasking and crowd tasking.



Figure 11: EXPE42 volunteer management



## 4.3.2 Expe 42 set-up

Expe42 will consist of two small experiments, concentrating on volunteer registration, activation and crowd tasking, and of a large event including crowd tasking and crisis communication. The latter will be a large flood scenario, using the THG platform

The tool COP provides the situation map with the common operational picture providing a source of information to be transferred to the public, and as decision support for the crisis manager with volunteer management. The crowd tasking results are also displayed as an information layer in COP.

CrowdTasker (management tool for the CM and mobile app for the volunteers) is the tool for volunteer registration, activation and tasking.

The crisis communication will be exercised with the tools GDACSmobile and SafeTrip.

The tools DEWS and MEGO will create the (simulated) alerts and flood warnings that form the scenario background for THG exercise.

### 4.3.3 Expe 42 information space

The following Table 7 shows the integration of tools and the planned data flow.

Information Provider	Type of Information	Transfer	Information Consumer
DEWS	water levels	САР	MEGO
MEGO	flooded areas	ShapeFile	СОР
СОР	warnings for areas	CAP or manually	SafeTrip
СОР	warnings for areas	CAP or manually	GDACSmobile
GDACSmobile	social media analysis	САР	СОР
СОР	situation awareness	manually	CrowdTasker
CrowdTasker	task feedback (photos, questionnaires)	EDXL OtherObject	СОР

Table 7: EXPE 42 Integration of tools

## 4.4 EXPE 43: From Planning to Tasking

#### 4.4.1 Components Services

EXPE 43 is focused on the tasking and management of resources during preparedness and response phases in a cross-border crisis scenario.

In order to enable effective crisis preparedness and response including tasking and resource management activities, efficient coordination and cooperation as well as structured command and control is required amongst the involved organizations, agencies and other parties. In particular, it should be possible to:



- Register all organisations and resources involved in the management of crisis events.
- Build a command hierarchy in which the role and place of all involved organizations is clearly established.
- Share operational information and a common situational awareness on crisis events.
- Task and track the available resources.

The previous capabilities will be supported by the following basic services:

- Resource service.
- Event service.
- Tasking service.
- Situation service.

The resource service is used to manage resources, from their registration into the CM organizational structure to their elimination from it. It allows constructing and maintaining the resource model of the Crisis Management body, composed by all the entities closely cooperating in the management of crisis events.

A resource may be any organization (governmental, non-governmental or private), person (either professional responder or volunteer), equipment (vehicles, sensors, portable information systems), infrastructure (warehouse, operations center, forward operating base) or whatever other entity that performs a role in Crisis Management. These resources may be organized following a hierarchical structure that represents the command hierarchy at a given moment in time.

The resource service also allows exchanging all the relevant information about registered resources, such as their capabilities, operational status or geographical position.

The event service is used to share information about crisis events, enabling the development of a common operational picture. It allows registering the occurrence of an event, tracking its evolution and compiling all the relevant information about it (such as the affected geographical area, its context, etc.). Events may be also organized following a hierarchical structure, in which some events are presented as sub-events of other ones.

The tasking service will be used to manage tasks from their creation to their completion. It allows creating and assigning tasks as well as exchanging all the relevant information about them (such as their status). Tasks may be associated and assigned respectively to the events and resources that have been already registered. A given organization might task any resource under its command, according to the CM organizational structure built by means of the resource service.

	Resource service	Event service	Tasking service
Register all organisations and resources involved in the management of crisis events	$\checkmark$		
Build a command hierarchy in which the role and place of all involved organizations is clearly established	$\checkmark$		
Share operational information and a common situational awareness on crisis events		$\checkmark$	
Task and track the available resources	$\checkmark$		$\checkmark$

Table 8: Contribution of services for coordination and cooperation and structured command and control



Data gathered by means of the three previous services would be integrated into a whole that relates events, resources and tasks. This whole represents a complete and coherent picture of the operational situation, which will be characterized at any given moment by:

- The ongoing events,
- The tasks aimed to handle the events and
- The resources that have been made available to handle the events (and thus, those which may be assigned previous tasks).

The situation service allows getting the complete situation status at any given moment in time. This service is intended to be used mainly by those tools that, while not specifically devoted to tasking, resource or event management, may need to have info about the situation (e.g., simulation or analysis tools).

## 4.4.2 Implementation Description

The experiment will include two main use cases, being the first one focused on the preparedness and planning phase and the second one on the response phase:

Capacity building and capacity mapping (focused on crisis preparedness phase). The users
will define the resource model of the CM organizational structure, by registering all
organizations and resources that are involved in (or made available for) the management of
crisis events. A command hierarchy, in which the role and place of all involved organizations
and resources is clearly established, will be defined.

Contingency plans will be also defined for the crisis event(s) associated to the specific scenario to be developed in the experiment.

- Tasking and capacity monitoring. The users will exchange operational information (in order to share a common situational awareness) and task and track the available resources under their command. The activities will include, among others:
  - Notification of crisis events by on-field resources to their command. This information (or at least the part which is relevant) will be spread amongst levels.
  - Assignment of tasks to resources in order to handle the crisis events. Resources will report on their assigned tasks: the information they provide will be monitored by taskers at the corresponding level, which may then distribute this info to other levels if necessary.
  - Resources will report also on their status (either they have been assigned tasks or not), which will be monitored by both the taskers and the resource managers. These will then make the corresponding decisions to continue tackling the crisis.

These activities will be done iteratively during the response phase.

The experiment's System of Systems (SoS) supporting the activities associated to these use cases will integrate the following tools: IO-DA Suite (ARMINES), LUPP (MSB), SITRA (FOI), PROCEED (ITTI), PROTECT (EDI), ESS (GMV Sistemas) and the Socrates suite – composed by Socrates CSS, Socrates FR, Socrates OC and Socrates TSK tools – (GMV).





Figure 12: EXPE 43 SoS architecture

As shown in Figure 12: EXPE 43 SoS architecture, the central element of the SoS is the Socrates CSS tool, which builds up a Service Oriented Architecture that implements the services specified in previous section.

EXPE 43's use cases are intended to involve several (at least, two) organisational levels (either from a geographical perspective, that would for instance include international, national or regional levels, or from a command perspective, including the strategic, tactical and operational levels), and different organisations and actors (performing one or more of the following generic roles: *resource, resource manager, analyst, decision-maker, tasker* and *taskee*) are expected to be involved. The specific organisations and actors are still to be agreed with the platform providers, MSB (Sweden) and ITTI (Poland), and might consist, for instance, of responder agencies such as firemen or medical staff and actors such as strategic, tactical or operational commanders.

## 4.5 EXPE 44: Enhanced logistics

## 4.5.1 Components Services

The aim of the Logistics Experiment is to model and simulate several relief chain setups in order to measure its performance as well as giving support to logistics experts in the response of the crisis. This will be done with a series of simulations that will provide insights in identifying bottlenecks in the preparedness of the crisis as well as improvement potentials as best routes to move goods, people or any resource during the crisis response. Additionally we will test a protocol (currently under research) for crisis situations, which has been identified as one of the gaps in logistics in this context, as some end-users as UME<sup>13</sup>.

The purpose of this experiment is to explore a logistics framework that provide logistics crisis managers to overcome problems associated with coordinated logistics operations and supporting crisis preparedness by evaluating the efficiency and capacity of storage and transport of resources.

<sup>&</sup>lt;sup>13</sup> UME: Spanish Military Emergency Unit





Figure 13: EXPE 44 involved tools

The expected outcomes of the experiment are:

- From a strategic view, exploring the capabilities of the logistics infrastructure for the management and organization of the logistics resources (people, goods...)
- From a technological view, validate the interface between different IT assets (knowledge sharing through CIS)
- Exploring systemic risks and vulnerabilities to global supply chains and transport network and an associated action protocol for crisis management

The experiment will be defined and executed together with THW at Stuttgart (Germany), in March 2016. The scenario will be a flood crisis on the Magdeburg area, as they are a flood risk area. The experiment is in the process of being structured, but it be based on the preparation of the crisis with an exercise of preparedness of the current logistics in the area, as well as the coordination between logistics actors and response crisis managers (in this case, municipalities are responsible of the management of the crisis). The experiment will be based on the evaluation and comparison of the actual process with process with the support of tools and strategic preparation

The following SP4 tools will be involved in Experiment 44:

- Preparedness level: Anylogic, Humlog, Delphi
- Response level: Emer-T, SUMO, U-Fly, DSS

## 4.5.2 Implementation Description

One of the success criteria of the experiment is the validation and testing logistics crisis management protocol and tools, including the elaboration of recommendation actions to logistics stakeholders and public entities. To achieve this goal, all tools involved in the experiment should be part of the CIS implementation decided for the experiment and able to share information



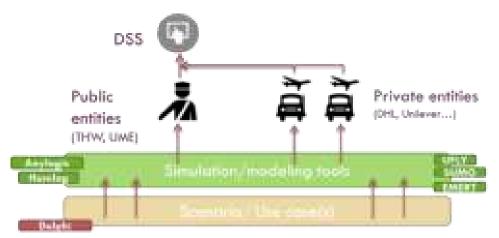


Figure 14: EXPE 44 Logistics Experiment

All the involved tools in the experiment will develop their own CIS Connector to manage the communication between CIS and the tool, as well as translate proprietary protocols to standards (if needed). All tools will take as starting point, the templates provided by SP4.

Regarding the implementation of the CIS Core and Distributor systems, the experiment will analyse all the implementations provided in the context of SP4 and described in this doc, to check which one fits better in the IT architecture of the Logistics Experiment. We will take into account the complexity and maintenance of the CIS implementation to be used as well as the ease of use or connectivity mechanisms provided to add tools to the CIS.

## 4.6 EXPE 45: Situation assessment and Crisis dynamics

This experiment aims at assessing the use of tools during the analysis of events leading to a potential crisis. This will involve the exploiting of existing legacy systems; therefore, the JRC platform European Crisis Management Laboratory (ECML) will be used, since it is already acting as a backend of the Emergency Response Coordination Centre (ERCC).

Some of the tools are already available in the DRIVER project. The tools concurring to the CM process will improve the capacity to exploit the existing systems, heading toward a closer integration.

It will be therefore necessary to evaluate the results in terms of the aggregated products of the tool provided to a later stage of the CM process. The value of the analysis is in fact the enrichment of the information together with the extraction of the more relevant information to assist the decision making process.

Additionally, JRC can rely on resources like the following:

- Natural disasters' models (tsunami, storm surge, cyclone impact, floods as described in the test-bed tools catalogue);
- Large databases of historical and simulated scenarios;
- Large datasets including satellite and aerial imageries.

The platform integrates also simulators to feed crisis management tools with real-like data.

There are in fact, different levels of use interconnected with each other and with external system:

- Local decision makers (local)
- Regional managers



- National Civil Protection Authorities
- Supranational (ERCC)

Each user has specific needs resolving in custom outputs. The experiment will evaluate the tools also in terms of their capacity to serve more than one level and to interoperate with the different levels.

#### 4.6.1 Tools

The following Table 9 provides a short description of the tools<sup>14</sup>.

Partner	Tool	TRL	Short description
Thales	Large Event	8	Collaborative Situation awareness for higher level crisis management staff. Provides collaborative workspaces for each crisis with a cartographic situation, a daybook, information sharing and high level task management capabilities. Includes mobile extension enabling staff on the field to report and share information.
Thales	Ingest	8	Graphical ETL tools. Transforms structured messages to another format / standard. User friendly Graphical definition of mapping jobs.
FRQ	СОР	6	Shared situational awareness tool with a GIS based user interface. Collection of data from various data sources, presentation of all input data on a map. Each dataset is presented in form of a layer which can be switched on/off by the user. Various options to filter and search for data. A mobile version for tablet PCs enables staff on the field to share information.
GMV	Socrates CSD	7	Implements a distributed database that provides a service based interface to publish, update, query, download and subscription of structured and unstructured products.
ATOS	DEWS	7	Principal focus on Tsunami Early warning (for authorities, emergency management forces, rescue services and the public) providing reliable hazard detection and effective warning dissemination. The system can be adapted for other hazards such as forest fires, floods, landslides, volcanoes, etc. The key operational functions of the early warning system are to support real-time monitoring through access to sensor networks, timely decision making, and customised

<sup>&</sup>lt;sup>14</sup> See: "DRIVER\_SP4\_ synthesis of tools description": <u>https://driver.atosresearch.eu/index.jsp?uuid=96c8d68d-ecde-42a9-9828-f37520e53ad3</u>. This list has been revised after the first round of experiments and some new tools has been included in order to achieve the goals of the experimentation.



Partner	Tool	TRL	Short description	
			dissemination of warnings messages.	
FOI	SITRA	6	SITRA is a tools suite accessed through a front-end for situation reasoning and risk assessment: * Operational Picture with relevant information. * Risk/threat model development tool: create models that can be used to predict events, get early warnings and assess risks. * Context Aware Reporting system: Android app that can be used for reporting events/incidents by using formal terms defined in an ontology.	
HKV	Dashboard	9	Presents data and information from all sorts of sources in one overview on mobile devices, whilst the data history is left at its source. The information is presented in several visualisation types (graphs, text, gauges) The dashboard screens are user oriented and preformatted by the information manager.	
JRC	Crisis Wall	7	Gathers live data from various sources of crisis information (GDACS, EMM, ECHOFLASH.) and stores it. A web client tailored specifically for use on a large wall touch screen allows the user to search, filter, group and organise this data into events. Users can also create events directly, add analysis and populate them with items. Event reports can be generated and shared. Data from the CrisisWall can be viewed through mobile applications.	
JRC	TAT	6	Tsunami Analysis Tool	
MSB	RIB	8	Decision support database and search engine for first responders with data on most relevant aspects of approximately 3700 toxic substances	
GEO-APP	LAMPO	7	Landslide Assessment through Multi Parameter Observatio Its aim is to gather in real-time sufficient information from different sources in order to help decision makers in finding out if and when a landslide is approaching its collapse. It has been developed in Air (Adobe integrated runtime).	
SELEX	DSS	7	Decision Support System aim to collect Integrate and Compute heterogeneous data, from various sensor networks in order to strengthen control and monitoring systems	
OBSERVIS	OBSHARE	9	It is a Tactical/Situational Awareness System (T/SAS) which collects, visualizes and shares	



Partner	Tool	TRL	Short description
			information between field and command personnel through browser based user interfaces and mobile
			applications. It is a perfect tool for sharing of information in inter-agency operations

Table 9: EXPE 45 Tools

In order to provide a comparative analysis among the tools, we identified 3 main categories: while the first and the second pertain respectively to collaborative situation awareness and early warning tools (e.g. COP, CRISIS WALL, SITRA, DEWS, TAT etc.), the third refers more to *communication and information* related-tools (RIB, LAMPO, INGEST).

The main hypothesis we aim to verify are:

- 1. The tools will improve the capacity to exploit existing systems;
- 2. The tools will improve Decision Making in Crisis Management (e.g. improve the extraction of relevant information);
- 3. The tools will improve information sharing and flows.

Considering the complexity of the experiment and in order to carry out efficient experimentation activities, the experiment is split into three different levels of analysis. The common aim is to produce a valuable outcome (e.g. reports, graphical interfaces, alert messages) and to take informed decisions on the basis of the reports produced. While type one deals with the understanding of a report written on a given scenario, type two is more concerned with the interpretation of a layout structure. The third analysis is similar to the first with a difference pertaining to decision making. In this case, in fact, the report will be read, interpreted and evaluated by a high level decision maker (e.g. ERCC).

Additionally, expected outcomes (technical and non-technical) and verified (through evidence-based data) outcomes will be analyzed. In the context of EXPE 45, tools are considered as "socio-technical" apparatuses, therefore both technical and social aspects will be analyzed.

Furthermore, these questions are part of the methodological design:

- Does the tool contribute to the function it is supposed to contribute to? (Indicators to be defined)
- How are tasks performed?
- Are these tools of interest to a certain user group that is currently not using them?
- What is the added value of each tool? (Indicators to be defined)
- What is the level of complexity of each tool?
- Is the tool user friendly? If yes, to what extent?

There will be no unfair comparison with tools the evaluators are already familiar with. The evaluators' team will change accordingly to the scope and the topic of the tool. It will be open to all DRIVER partners volunteering to apply for it.



The design of the experiment will involve the tool provider, in order to create an "*ad hoc*" test including the evaluation criteria (common criterion: technological maturity level).

DRIVER Tasks involved (main & supporting):

- T43.1 Damage and Needs Assessment
- T43.3 Crisis dynamics & early warning
- T43.5 Shared situation awareness
- T44.1 Capacity Building and Capacity Mapping Tools
- T45.2 Collaborative tools (supporting)
- T45.3 Structured information exchange (supporting)



## 5 Conclusion

DRIVER involves several groups of tools of different kinds. Some of them will be used together to carry out experiments in the scope of SP4, which will be useful for the Joint Experiments as well.

The common architecture design has been addressed and presented to enable the different tools and services to connect together. Firstly, we introduced the available technologies and standards to show the options available to implement the common architecture. Secondly, we presented the selected technologies and standards and introduced the rationale behind the selection. The architecture definition was described as well as how to implement the common communication space to exchange information between the different tools. Finally we presented an early report on how the different SP4 experiments are using the common architecture.

The main purpose of the document was successfully addressed by describing the Common Information Space as the integration architecture that all experiments coming from SP4 will use to interconnect the different tools. The main topics for this process were:

- List and describe the available technologies, from the Information and Communication Technologies space and from the Crisis Management space. Including a short analysis on the potential benefits and disadvantages of using them as part of the integration platform.
- Short list of the selected technologies, focusing on Crisis Management technologies and standards, as the available tools to build a System of Systems that could be used to integrate the different Crisis Management tools. This task was focused on the description of each standard so that the reasons for using them as part of the integration architecture are explained.
- With the selected tools the Common Information Space is presented and described in the internal architectural components. The main focus is on the CIS Adaptor and its components that are used to enable the Tools providers to connect to the CIS and use it to exchange information. These components are the Connector, the Core and the Distributor.
- As part of the CIS description, the optional implementation technologies for the CIS Distributor are presented, including Common Shared Space, Peer2Peer and Enterprise Service Bus. Other supporting tools are also described to enrich the CIS architecture functionality.
- Finally, the SP4 experiments are described with the focus on the actual implementation state as part of each experiment path to implement the CIS architecture to interconnect the different tools.

A deeper description and more detailed information are going to be included in the next iteration of this document.

More details will be provided during the next tasks of the WP42 in close cooperation with WP46 and considering as well the SP2 test-bed architecture.

Next steps will be the following:

- Define how the tools will cooperate with each other in the experiments:
  - Identifying the data that will be shared
  - The formats they are in compliance with and



- Other technical constraints they might have
- Define the standard interface implementation that is required by the tools to implement the CIS Adaptor, the common interface that each tool will need to implement in order to be connected to the CIS
- Get deeper information and requirements description for each one of the defined interfaces within CIS architecture.
  - Approaches coming from other European Commission projects such as EPISECC
- Investigate and define on the required security requirements for the integration of each and every tool that uses CIS
  - Approaches coming from other European Commission projects such as ODYSSEY
- Progress into the implementation of CIS that is performed by each experiment.



## Annex 1 SOA

## **SOA Architecture**

Service Oriented Architecture (SOA) is a key concept of modern information technology. Current Crisis Management software has to cope with the heterogeneous nature of the services, addressing complex issues such as distributed software, application integration, diverse platforms and protocols, and various devices. SOA along with Web Services allows seamless integration by abstraction from complexity thus providing an approach to deal with the challenges of such complex software environments.

Service Oriented Architecture (SOA) is an architectural style based on loosely coupled interacting software components that provide services. A service is a piece of functionality made available by a service provider in order to deliver end results for a service consumer. A service consumer sends a service request to a service provider. The service provider returns a response to the service consumer containing the expected results. In Service Oriented Computing (SOC), services are the crucial element to develop applications. SOC applies SOA to organize software applications and infrastructure into a set of interacting services.

SOA applies a service model consisting of a set of interconnected services communicating through standard interfaces and messaging protocols. Basic services, their descriptions and basic operations as publish, discovery, selection, and bind constitute the basic SOA.

SOA constitutes a concept to provide services to clients through published interfaces and to coordinate interaction through the exchange of messages. Generally, the basic SOA describes the relationship between three kinds of participants: the service providers, the registry, and the service requestors. The service represents a logical separation of declaration and implementation, its implementation is hidden from the client and can be subject to changes which may not influence the client so long as the service interface stays unchanged.

An important mechanism in a SOA is the Dynamic Discovery of services:

The interaction model of the basic SOA consists of three key players, the service providers, the service requestors, and the intermediating directory service. First, the service providers register with the directory service, then clients can query the directory service for providers and browse the exposed service capabilities. Typically a directory service supports:

- a look-up service for clients
- scalability of the service model: services can be added incrementally
- dynamic composition of the services: the client can decide at runtime which services to use

## **SOA Architectural Constraints**

In order to achieve loose coupling between components, SOA is based on two major architectural constraints where:



- 1. Interfaces are defined for all participating services. Only generic semantics are encoded at the interfaces. The interfaces should be universally available for all providers and consumers.
- 2. Messages are described and constrained by an extensible scheme and delivered through the interfaces.

#### Interfaces

The interface constitutes a contract defining the functionality of the service in a platformindependent manner. This implies that the invocation mechanism (protocols, descriptions, and discovery) must comply with widely accepted standards enabling a client to use the service from anywhere applying any OS or programming language.

A discovery service (e.g. a directory service) provides clients with a look-up mechanism supporting dynamic locating and invoking.

Services are self-describing. They advertise the service capabilities, interface, behavior, and quality. Services may publish several descriptions. The service interface description publishes the service signature, e.g. its input, output, and error messages. The (expected) behavior is described by the behavior description and the QoS (Quality of Service) describes both functional and non-functional service quality attributes, e.g. performance, security attributes, reliability, etc.

Services exhibit several other properties. They are stateless, this means that users can use them without knowing the current conditions of the service, the service maintains its own state. The interaction between services is loosely coupled, that is the services must not share common modules (e.g. GUI or storage) or data model. The usage of services is location-transparent, e.g. clients do not have to know if the service is local or only accessible over a network. These properties enable and support rapid and low-cost composition of services for distributed applications.

#### Messages

Message passing is a form of communication for inter-module interaction. Processes communicate with each other by sending and receiving messages, where each sent mechanism must match the corresponding receive mechanism. Services communicate with each other and with consumers using messages. The service interface defines the messages a service can process. To achieve platform-and language-independency, messages are typically constructed using XML documents that comply with the corresponding XML Schemas. In contrast to Remote Procedure Call (RPC) the mechanism is an asynchronous communication, directly supported by message passing.

A schema limits the vocabulary and structure of messages. An extensible schema allows new versions of services to be introduced without modifying existing services.

#### **RESTful Web Services**

More than a decade after its introduction, REST has become one of the most important technologies for Web applications. Its importance is likely to continue growing quickly as all technologies move towards an API orientation. Every major development language now includes frameworks for building RESTful Web services. As such, it is important for Web developers and architects to have a clear understanding of REST and RESTful services. While REST stands for Representational State Transfer, which is an architectural style for networked hypermedia applications, it is primarily used to



build Web services that are lightweight, maintainable, and scalable. A service based on REST is called a RESTful service. REST is not dependent on any protocol, but almost every RESTful service uses HTTP as its underlying protocol.

Every system uses resources. These resources can be pictures, video files, Web pages, business information, or anything that can be represented in a computer-based system. The purpose of a service is to provide a window to its clients so that they can access these resources. Service architects and developers want this service to be easy to implement, maintainable, extensible, and scalable. A RESTful design promises that.

As a programming approach, REST is a lightweight alternative to Web Services and RPC. Much like Web Services, a REST service is:

- Platform-independent (you don't care if the server is Unix, the client is a Mac, or anything else),
- Language-independent (C# can talk to Java, etc.),
- Standards-based (runs on top of HTTP), and
- Can easily be used in the presence of firewalls.

Like Web Services, REST offers no built-in security features, encryption, session management, QoS guarantees, etc. But also as with Web Services, these can be added by building on top of HTTP:

- For security, username/password tokens are often used.
- For encryption, REST can be used on top of HTTPS (secure sockets).
- ... Etc.

One thing that is not part of a good REST design is cookies: The "ST" in "REST" stands for "State Transfer", and indeed, in a good REST design operations are self-contained, and each request carries with it (transfers) all the information (state) that the server needs in order to complete it.

Every system uses resources. These resources can be pictures, video files, Web pages, business information, or anything that can be represented in a computer-based system. The purpose of a service is to provide a window to its clients so that they can access these resources. Service architects and developers want this service to be easy to implement, maintainable, extensible, and scalable. A RESTful design promises that and more. In general, RESTful services should have following properties and features:

- Representations
- Messages
- URIs
- Uniform interface
- Stateless
- Links between resources
- Caching

## Architecture Based on SOA

The perceived value of SOA is that it provides a powerful framework for matching needs and capabilities and for combining capabilities to address those needs.



Visibility, interaction, and effect are key concepts for describing the SOA paradigm. Visibility refers to the capacity for those with needs and those with capabilities to be able to see each other. This is typically done by providing descriptions for such aspects as functions and technical requirements, related constraints and policies, and mechanisms for access or response. The descriptions need to be in a form (or can be transformed to a form) in which their syntax and semantics are widely accessible and understandable.

Whereas visibility introduces the possibilities for matching needs to capabilities (and vice versa), interaction is the activity of using a capability. Typically mediated by the exchange of messages, an interaction proceeds through a series of information exchanges and invoked actions. There are many facets of interaction; but they are all grounded in a particular execution context – the set of technical and business elements that form a path between those with needs and those with capabilities. This permits service providers and consumers to interact and provides a decision point for any policies and contracts that may be in force.

The purpose of using a capability is to realize one or more real world effects. At its core, an interaction is "an act" as opposed to "an object" and the result of an interaction is an effect (or a set/series of effects). This effect may be the return of information or the change in the state of entities (known or unknown) that are involved in the interaction.

We are careful to distinguish between public actions and private actions; private actions are inherently unknown by other parties. On the other hand, public actions result in changes to the state that is shared between at least those involved in the current execution context and possibly shared by others. Real world effects are, then, couched in terms of changes to this shared state. The expected real world effects form an important part of the decision on whether a particular capability matches similarly described needs. At the interaction stage, the description of real world effects establishes the expectations of those using the capability. Note, it is not possible to describe every effect from using a capability. A cornerstone of SOA is that capabilities can be used without needing to know all the details.

This description of SOA has yet to mention what is usually considered the central concept: the service. The noun "service" is defined in dictionaries as "The performance of work (a function) by one for another." However, service, as the term is generally understood, also combines the following related ideas:

- The capability to perform work for another.
- The specification of the work offered for another.
- The offer to perform work for another.

These concepts emphasize a distinction between a capability and the ability to bring that capability to bear. While both needs and capabilities exist independently of SOA, in SOA, services are the mechanism by which needs and capabilities are brought together.

SOA is a means of organizing solutions that promotes reuse, growth and interoperability. It is not itself a solution to domain problems but rather an organizing and delivery paradigm that enables one to get more value from use both of capabilities which are locally "owned" and those under the control of others. It also enables one to express solutions in a way that makes it easier to modify or evolve the identified solution or to try alternate solutions. SOA does not provide any domain elements of a solution that do not exist without SOA.



Note that while an SOA service brings together needs and capabilities, the provider of the underlying capability may not be the same entity that eventually provides the service which accesses that capability. In reality, the entity with the domain expertise to create, maintain, and evolve a given capability may not have the expertise or the desire to create, maintain, and evolve its service access.

The concepts of visibility, interaction, and effect apply directly to services in the same manner as these were described for the general SOA paradigm. Visibility is promoted through the service description which contains the information necessary to interact with the service and describes this in such terms as the service inputs, outputs, and associated semantics. The service description also conveys what is accomplished when the service is invoked and the conditions for using the service.

In general, entities (people and organizations) offer capabilities and act as service providers. Those with needs who make use of services are referred to as service consumers. The service description allows prospective consumers to decide if the service is suitable for their current needs and establishes whether a consumer satisfies any requirements of the service provider. (Note, service providers and service consumers are sometimes referred to jointly as service participants.)

#### **Services Visibility**

Introduces the possibilities for matching needs to capabilities (and vice versa),

#### **Services Capabilities**

Interaction is the activity of using a capability



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